

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79327

Chiaki SOTOWA, et al.

Appln. No.: 10/585,205

Group Art Unit: 1745

Confirmation No.: 9588

Examiner: Not Yet Assigned

Filed: May 23, 2007

For: NEGATIVE ELECTRODE MATERIAL FOR LITHIUM BATTERY, AND LITHIUM BATTERY

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

**ATTN:** Office of Initial Patent Examination  
Filing Receipt Correction  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction(s):

Assignment For Published Patent Application  
**SHOWA DENKO K.K.; and ZEON CORPORATION**

Foreign Applications  
JAPAN 2004-00254 01/05/2004

Verification for the requested correction(s) is indicated on the Assignment filed July 3, 2006.

Respectfully submitted,



Abraham J. Rosner  
Registration No. 33,276

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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: November 16, 2007



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/585,205	05/23/2007	1745	1880	Q79327	37	3

23373  
 SUGHRUE MION, PLLC  
 2100 PENNSYLVANIA AVENUE, N.W.  
 SUITE 800  
 WASHINGTON, DC 20037

**DOCKETED**

AUG 23 2007

**CONFIRMATION NO. 9588**

**FILING RECEIPT**



\*OC000000025457302\*

Date Mailed: 08/21/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt Incorporating the requested corrections (if appropriate).

**Applicant(s)**

Chiaki Sotowa, Kanagawa, JAPAN;  
 Masataka Takeuchi, Kanagawa, JAPAN;  
 Hidekazu Mori, Tokyo, JAPAN;  
 Masahiro Yamakawa, Tokyo, JAPAN;

**ASSIGNMENT FOR PUBLISHED PATENT APPLICATION: SHOWA DENKO K.K.; and**

Power of Attorney: The patent practitioners associated with Customer Number 23373.

ZEON CORPORATION

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP04/19835 12/28/2004  
 which claims benefit of 60/534,989 01/09/2004

**Foreign Applications**

JAPAN 2004-254 01/05/2004

**If Required, Foreign Filing License Granted: 08/18/2007**

**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/585,205**

**Projected Publication Date: 11/29/2007**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Negative Electrode Material for Lithium Battery, and Lithium Battery

**Preliminary Class**

429

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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# ASSIGNMENT

Whereas, I/we,

Chiaki SOTOWA

c/o Corporate R&D Center, SHOWA DENKO K.K., 5-1,  
Okawacho, Kawasaki-ku, Kawasaki-shi, KANAGAWA  
210-0858 JAPAN,

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210-0858 JAPAN,

Hidekazu MORI

c/o ZEON CORPORATION, 6-2, Marunouchi 1-chome,  
Chiyoda-ku, TOKYO 100-8246 JAPAN, and

Masahiro YAMAKAWA

c/o ZEON CORPORATION, 6-2, Marunouchi 1-chome,  
Chiyoda-ku, TOKYO 100-8246 JAPAN

hereinafter called assignor(s), have invented certain improvements in

Negative Electrode Material for Lithium Battery, and Lithium  
Battery

and executed an application for Letters Patent of the United States of America therefor on  
; and

Whereas,

SHOWA DENKO K.K. of

13-9, Shiba Daimon 1-chome, Minato-ku, TOKYO 105-8518 JAPAN

and

ZEON CORPORATION of

6-2, Marunouchi 1-chome, Chiyoda-ku, TOKYO 100-8246 JAPAN

(assignees), desire to acquire the entire right, title and interest in the application and  
invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above  
named assignee, its successors and assigns, the entire right, title and interest in the  
application and the invention disclosed therein for the United States of America, including the  
right to claim priority under 35 U.S.C. § 119, and I/we request the Director - U.S. Patent and  
Trademark Office to issue any Letters Patent granted upon the invention set forth in the  
application to the assignee, its successors and assigns; and I/we will execute without further  
consideration all papers deemed necessary by the assignee in connection with the United  
States application when called upon to do so by the assignee.

I/We hereby authorize and request my/our attorneys SUGHRUE MION, PLLC of 2100  
Pennsylvania Avenue, N.W., Washington, D.C. 20037-3213 to insert here in parentheses  
(Application number \_\_\_\_\_ and Confirmation number \_\_\_\_\_, filed  
\_\_\_\_\_) the filing date and application number of said application when known.

Date: 05/26/2006 s/ Chiaki Sotowa  
Chiaki SOTOWA

Date: 05/26/2006 s/ Masataka Takeuchi  
Masataka TAKEUCHI

Date: s/ \_\_\_\_\_  
Hidekazu MORI

Date: s/ \_\_\_\_\_  
Masahiro YAMAKAWA

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)